

**SMOOTH MANIFOLDS FALL 2022 - HOMEWORK 6**

SOLUTIONS

**Problem 1.** Let  $G$  be a connected Lie group. Show that  $Z(G) = \ker(\text{Ad})$ , where  $Z(G) = \{g \in G : gh = hg \text{ for all } h \in G\}$ .

*Solution.* We will show that  $Z(G) \subset \ker(\text{Ad})$  and  $\ker(\text{Ad}) \subset Z(G)$ . First, suppose that  $g \in Z(G)$ . Then  $gh = hg$  for all  $h \in G$ , and in particular,  $L_g = R_g$ . Since  $\text{Ad}(g) = (L_g)_*$  by definition, it follows that

$$\text{Ad}(g) = (L_g)_* = (R_g)_* = \text{Id}$$

since every vector field in  $\text{Lie}(G)$  is right-invariant. Hence  $g \in \ker(\text{Ad})$ .

Now, assume that  $g \in \ker(\text{Ad})$ , and let  $h$  be in the image of the exponential map, so  $h = \exp(X)$  for some  $X \in \text{Lie}(G)$ . Then

$$ghg^{-1} = g \exp(X) g^{-1} = \exp(\text{Ad}(g)X) = \exp(X) = h,$$

and  $gh = hg$ . Since  $G$  is connected, the image of the exponential map generates  $G$ . Hence,  $g$  commutes with all elements of  $G$ , and  $g \in Z(G)$ . □

**Problem 2.** Classify the 2-dimensional connected Lie subgroups of  $\text{Heis} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}$ .

*Solution.* We claim that the only two-dimensional subgroups are of the form

$$G = \left\{ \begin{pmatrix} 1 & ta & s + \frac{ab}{2}t^2 \\ 0 & 1 & tb \\ 0 & 0 & 1 \end{pmatrix} : s, t \in \mathbb{R} \right\}.$$

Indeed, one may check that  $G$  is the image of the following Lie subalgebra under  $\exp$ :

$$(*) \quad \mathfrak{g} = \left\{ \begin{pmatrix} 0 & ta & s \\ 0 & 0 & tb \\ 0 & 0 & 0 \end{pmatrix} : s, t \in \mathbb{R} \right\}.$$

Since every connected Lie subgroup has a unique associated Lie subalgebra, it suffices to show that the subalgebras  $\mathfrak{g}$  are the only 2-dimensional subalgebras of  $\text{Lie}(\text{Heis})$ .

Let  $\mathfrak{g} \subset \text{Lie}(\text{Heis})$  be an arbitrary two dimensional subalgebra. Choose the following generators of  $\text{Lie}(\text{Heis})$ :

$$X = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \quad Z = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Then  $\mathfrak{g}$  must have two basis vectors which are linearly independent combinations of  $X$ ,  $Y$  and  $Z$ ,  $V_1 = a_1X + b_1Y + c_1Z$  and  $V_2 = a_2X + b_2Y + c_2Z$ . We claim first that  $Z \in \mathfrak{g}$ . If  $V_1$  or  $V_2$  is a

multiple of  $Z$ , we are done. Otherwise, for  $i = 1, 2$ ,  $(a_i, b_i) \neq (0, 0)$ . Note that  $Z$  commutes with  $X$  and  $Y$ , and  $[X, Y] = Z$ . Hence

$$[V_1, V_2] = (a_1 b_2 - b_1 a_2) Z.$$

If this is a nonzero vector, we have shown a multiple of  $Z$ , and hence  $Z$  itself is contained in  $\mathfrak{g}$ , since it must be closed under Lie brackets. If this is zero, then the vectors  $(a_1, b_1)$  and  $(a_2, b_2)$  are proportional. But  $(a_1, b_1, c_1)$  and  $(a_2, b_2, c_2)$  cannot be proportional since  $V_1$  and  $V_2$  are linearly independent. Hence some linear combination of  $V_1$  and  $V_2$  has 0 as the coefficient of  $X$  and  $Y$  and a nonzero coefficient of  $Z$ . That is,  $Z$  is in the span of  $V_1$  and  $V_2$ . Hence in all cases,  $Z \in \mathfrak{g}$ .

Finally, observe that any other vector in  $V_0 \in \text{Lie}(\text{Heis})$  will span a subalgebra of  $\text{Lie}(\text{Heis})$ , since  $Z \in Z(\text{Heis})$ . We may without loss of generality assume it is a linear combination of  $X$  and  $Y$  since we may subtract any multiple of  $Z$  and still yield a basis. That is, any two-dimensional subalgebra must be spans of  $Z$  and some element  $aX + bY$ , which are exactly the algebras in (\*).  $\square$

**Problem 3.** Let  $G$  be the group of transformations of  $\mathbb{R}^2$  obtained by compositions of translations and homotheties  $x \mapsto \lambda x$  for  $\lambda \in \mathbb{R}_+$ .

- (1) Show that  $G$  is center-free (ie, that  $Z(G) = \{e\}$ ).
- (2) Find vector fields on  $\mathbb{R}^2$  generating the actions by homotheties and translations.
- (3) Show that the vector fields of the previous part form the basis of a Lie algebra (ie, that they span a space closed under Lie brackets).
- (4) Compute the adjoint representation of its Lie algebra in the basis of the previous part.
- (5) Build a matrix group  $H$  isomorphic to  $G$ .

*Solution.* Throughout, we let  $T_v(x) = x + v$  be the translation along the vector  $v$  and  $H_\lambda(x) = \lambda x$  be the homothety which is the multiplication by  $\lambda$ .

- (1) Suppose that  $g$  is a composition of the maps  $T_{v_i}$  and  $H_{\lambda_j}$  in some arbitrary, but fixed order. Since the derivative of  $T_{v_i}$  is always the identity at every point and the derivative of  $H_{\lambda_j}$  is always  $\lambda_j \text{Id}$  at every point, regardless of the order of composition, the derivative of  $g$  is some  $(\prod \lambda_j) \cdot \text{Id} = \mu_g \cdot \text{Id}$ . If  $\mu_g = 1$ , then  $g$  itself is a translation (by the fundamental theorem of calculus), so it does not commute with all homotheties. If  $\mu_g \neq 1$ , then  $g$  does not commute with translations, since if it did,

$$g(x) + v = T_v(g(x)) = g(T_v(x)) = g(x + v) = g(x) + \mu_g v$$

where the last equation follows from the fact that  $dg(x) = \mu_g \cdot \text{Id}$  at every point and the fundamental theorem of calculus. In particular, this can only hold if  $\mu_g = 1$ . Hence, any element of  $G$  has something which fails to commute with it.

- (2) Observe that the homotheties are a 1-parameter subgroup, and act via the flow  $\varphi_t(x) = e^t x$ . The generating vector field of this flow is obtained by differentiating in  $t$ , yielding

$$X = \frac{\partial}{\partial x_1} x_1 + \frac{\partial}{\partial x_2} x_2$$

Similarly, the translations are generated by the vector fields  $Y_i = \frac{\partial}{\partial x_i}$ ,  $i = 1, 2$ , since they are generated by the horizontal and vertical flows  $\psi_t^{(i)}(x) = x + t e_i$ .

- (3) We compute the Lie brackets directly:

$$[X, Y_1] = -Y_1 \quad [X, Y_2] = -Y_2 \quad [Y_1, Y_2] = 0.$$

Since the pairwise Lie brackets are contained in the span of  $\{X, Y_1, Y_2\}$ , it follows that their span is a Lie algebra of vector fields.

- (4) We compute the adjoint action of  $W = tX + v_1Y_1 + v_2Y_2$  in the ordered basis  $\{Y_1, Y_2, X\}$ :

$$\text{ad}(W) = \begin{pmatrix} -t & 0 & v_1 \\ 0 & -t & v_2 \\ 0 & 0 & 0 \end{pmatrix}$$

- (5) Since  $G$  is center free, it follows that  $\text{Ad}$  is an isomorphism onto its image by the first part. Since the image of  $\text{Ad}$  is generated by elements of the form  $\exp(\text{ad}(W))$ ,  $W \in \text{Lie}(G)$ , we compute a matrix group isomorphic to  $G$  by exponentiating the matrices  $\text{ad}(W)$ :

$$\text{Ad}(\exp(W)) = \exp(\text{ad}(W)) = \begin{pmatrix} e^{-t} & 0 & (1 - e^{-t})/t \cdot v_1 \\ 0 & e^{-t} & (1 - e^{-t})/t \cdot v_2 \\ 0 & 0 & 1 \end{pmatrix}$$

By varying  $(t, v_1, v_2) \in \mathbb{R}^3$ , we see that we may achieve any matrix in the following group, which is hence isomorphic to  $G$ :

$$\left\{ \begin{pmatrix} \lambda & 0 & v_1 \\ 0 & \lambda & v_2 \\ 0 & 0 & 1 \end{pmatrix} : \lambda > 0, (v_1, v_2) \in \mathbb{R}^2 \right\}$$

□